

# Evidence-Based Series 17-6 Version 2 REQUIRES UPDATING

# A Quality Initiative of the Program in Evidence-Based Care (PEBC), Cancer Care Ontario

# Invasive Mediastinal Staging of Non-small Cell Lung Cancer

The Expert Panel on Invasive Mediastinal Staging of Non-small Cell Lung Cancer

An assessment conducted in November 2024 indicated that Guideline 17-6 Version 2 REQUIRES UPDATING. It is still appropriate for this document to be available while this process unfolds. The PEBC has a formal and standardized process to ensure the currency of each document (PEBC Assessment and Review Protocol)

EBS 17-6 Version 2 is comprised of 4 sections. You can access the summary and full report here: <u>https://www.cancercareontario.ca/en/guidelines-advice/types-of-</u> cancer/2221

- Section 1: Guideline Recommendations (ENDORSED)
- Section 2: Evidentiary Base
- Section 3: EBS Development Methods and External Review Process
- Section 4: Document Assessment and Review

May 3, 2018

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#### EBS 17-6: Version 2

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GUIDELINE	SYSTEMATIC REVIEW			NOTES and KEY
VERSION	Search Dates	Data	PUBLICATIONS	CHANGES
Original October	2006 to August	Full Report	Peer review	NA
2010	2010		publication	
			Web publication	
Current Version 2	2010 to Feb 2018	New data found in	Updated web	2010
May 2018		Section 4: Document	publication	recommendations
		Assessment and Review		are ENDORSED

# Guideline Report History



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Evidence-Based Series 17-6 Version 2: Section 1

# Invasive Mediastinal Staging of Non-small Cell Lung Cancer: Guideline Recommendations

G. Darling, J. Dickie, R. Malthaner, E. Kennedy, and the Invasive Mediastinal Staging Expert Panel

A Collaboration of Cancer Care Ontario's (CCO) Program in Evidence-Based Care (PEBC) and Surgical Oncology Program (SOP)

Original Report Date: October 18, 2010

The 2010 guideline recommendations are

ENDORSED

This means that the recommendations are still current and relevant for decision making

Please see Section 4: Document Assessment and Review for a summary of updated evidence published between 2010 and 2018 and for details on how this Clinical Practice Guideline was ENDORSED

## QUESTIONS

## **Primary Questions**

Is invasive mediastinal staging in stage cT1-4, N0-3, M0 non-small cell lung cancer (NSCLC) patients indicated under the following circumstances?

- a) Normal-sized mediastinal lymph nodes on computed tomography scan (CT), and
  - i. negative positron emission tomography (PET)-CT scan in the mediastinum
  - ii. positive PET-CT in the mediastinum
- b) Enlarged discrete mediastinal nodes on CT (clinical N2 or N3 nodes), and
  - i. negative PET-CT in the mediastinum
  - ii. positive PET-CT in the mediastinum

## Secondary Questions

What constitutes invasive mediastinal staging? What is the proper technique in performing invasive mediastinal staging?

- a) Which node stations should be biopsied?
- b) How many lymph nodes should be biopsied?

Section 1: Recommendations

#### TARGET POPULATION

NSCLC patients in Ontario who have been clinically staged T1-4, N0-3, with no distant metastases.

#### **INTENDED USERS**

Thoracic surgeons, respirologists, and medical as well as radiation oncologists who treat lung cancer.

#### BACKGROUND

#### Methods

To answer the primary questions, a systematic review of existing guidelines and primary studies, and a consensus of interpretation of evidence by the members of the Invasive Mediastinal Staging Working Group (the Working Group) and the corresponding Expert Panel were used to formulate the recommendation. To answer the secondary questions, a non-systematic search of the evidence and consensus of expert opinion was used to formulate the recommendations. Please see Section 2 for details of the review methodology.

#### **Clinical Perspective**

The Working Group constructed the questions around CT and PET-CT scans, which were assumed to be standards of care for non-invasive staging. Recognizing that there are many available options and standards involved, the Working Group has chosen the following criteria for clinical consideration. Enlargement is defined as a short-axis lymph node diameter of  $\geq 1$  cm on a transverse CT scan. Quantitative assessments of PET-CT scans have been undertaken, with a comparison based on the uptake in the lesion in question compared with background activity of the lung and liver. Standardized uptake value (SUV) is calculated as the ratio of the activity in the tissue to the decay-corrected activity injected into the patient, normalized for patient body weight (2). SUV of <sup>18</sup>F Fluorodeoxyglucose (FDG) of less than or equal to 2.5 as a cut-off for normalcy is used in some studies (1). The Working Group felt that this guideline presents the best available evidence and does not expect higher level evidence to emerge in the near future. Thus, recommendations are provided for the following groups:

Group A: Normal sized mediastinal lymph nodes (MLN) on CT, and

- A1. negative PET-CT scan in the mediastinum. The recommendations for this pathway depend on the location of the primary tumour and tumour stage. Patients with central tumours can be grouped with those who have N1 disease because it is usually difficult to assess the N1 nodes separately in such cases.
- A2. positive PET-CT in the mediastinum

Group B: Enlarged ( $\geq$ 1 cm) discrete ipsilateral (N2) or contralateral (N3) MLNs on CT, and

- B1. negative PET-CT in the mediastinum
- B2. positive PET-CT in the mediastinum

In developing these recommendations, the Panel considered that, although PET has a higher sensitivity and specificity for evaluation of mediastinal lymph nodes compared to CT, the accuracy of PET depends on the size of mediastinal lymph nodes. Up to 25% of lymph nodes identified by PET as malignant are falsely positive. Hence pathologic confirmation of

malignancy is required so that the patient is not denied potentially curative therapy. In contrast, for normal-sized lymph nodes, PET has lower sensitivity (82%-93%). The estimated false-negative (FN) rate for a PET scan in the setting of normal-sized nodes is 20%. In a clinical setting where the probability of mediastinal lymph node metastases is increased, pathologic confirmation that the mediastinal lymph nodes are negative is required to avoid subjecting the patient to a futile (noncurative) lung resection (5).

## RECOMMENDATIONS

Based on evidence from the American Association of Chest Physicians (ACCP) guideline (3) and primary literature from the systematic search:

• Invasive mediastinal staging is not needed in the case of normal-sized MLN on CT, a negative PET-CT scan, a peripheral clinical stage 1A tumour, and a negative clinical evaluation.

• clinical stage 1A tumour defined as T1N0M0

- T1: primary tumour diameter of 3 cm or smaller and surrounded by lung or visceral pleura or endobronchial tumour distal to the lobar bronchus
- N0: no lymph nodes involved
- M0: no metastases
- Invasive staging is recommended in the following cases:
  - Normal-sized MLN on CT with negative mediastinal PET-CT, and
    - the presence of a central tumour (tumour in the central third of the hemithorax), or
    - suspected N1 disease (enlarged N1 nodes and/or positive N1 nodes on PET-CT), or
    - T2 or greater tumours
  - $\circ~$  Enlarged discrete MLN on CT (N2, N3), and negative or positive PET-CT
  - CT negative and PET positive in the mediastinum
- Invasive staging is important to confirm PET findings
- Appendix 1, Figure 1 illustrates the corresponding algorithm for these recommendations.

Based on a consensus of expert opinion:

- Five nodal stations (2R/L, 4R/L, and 7) should routinely be examined when performing invasive mediastinal staging, with at least one node sampled from each station unless none are present after actual dissection in the region of a particular nodal station
- Any enlarged or suspicious node should be biopsied
- Mediastinoscopy is the gold standard for invasive staging of the mediastinum. Endobronchial ultrasound-guided transbronchial needle aspiration (EBUS-TBNA) may be useful, but more data are required before it may be considered as an equivalent procedure.

## Qualifying Statement - Added to the 2018 Endorsement:

• There is important new guidance in the use of EBUS-TBNA, as highlighted in the 2013 ACCP guideline [10]:

"In patients with high suspicion of N2,3 involvement, either by discrete mediastinal lymph node enlargement or PET uptake (and no distant metastases), a needle technique (endobronchial ultrasound [EBUS]needle aspiration [NA], EUS-NA or combined EBUS/EUS-NA) is recommended over surgical staging as a best first test (Grade 1B). In patients with an intermediate suspicion of N2,3 involvement, i.e., a radiographically normal mediastinum (by CT and PET) and a central tumor or N1 lymph node enlargement (and no distant metastases), a needle technique (EBUS-NA, EUS-NA or combined EBUS/EUS-NA) is suggested over surgical staging as a best first test (Grade 2B). This recommendation is based on the availability of these technologies (EBUS-NA, EUS-NA or combined EBUS/EUS-NA) and the appropriate experience and skill of the operator."

• The 2013 ACCP evidence base covers evidence up to the end of 2012. More recently, a meta-analysis (covering comparative studies published up to March 2016) estimated the pooled risk-difference of the sensitivity of EBUS/EUS versus mediastinoscopy in cohort studies and RCTs at 0.11 (95% CI -0.07 to 0.29) and 0.11 (95% CI -0.03 to 0.25), respectively, suggesting equivalence of the two procedures. The complication rate was significantly lower with endosonographic procedures [11]. There are no studies past March 2016 directly comparing EBUS/EUS to mediastinal staging.

## **KEY EVIDENCE**

## Invasive Staging Not Required

Normal CT, negative PET-CT, and a peripheral clinical stage 1A tumour:

- The ACCP systematic review found that the FN rate of CT in the group of patients with T1 tumours (i.e., clinical stage 1A) is approximately 9% (3).
- The Scottish Intercollegiate Guidelines Network (SIGN) recommends that patients with *small* peripheral tumours and a negative CT scan of the mediastinum require no further investigation, because the rate of false negatives in all categories of patients with lung cancer is 13% (7). SIGN does not provide a definition of small, but it may be equivalent to a clinical stage 1A tumour. The European Society of Thoracic Surgeons (ESTS) does not recommend mediastinoscopy in the case of a "T1 squamous cell tumour with N0 disease on CT scan" (8), based on the results of the SIGN systematic review.
- A negative PET-CT scan in the mediastinum carries an FN rate of approximately 5% (range 3% to 6%).

## Invasive Staging Recommended

Normal CT, negative PET-CT and a central tumour, N1 disease or a T2 tumour or higher:

- The ACCP systematic review found the FN rate of CT scan in the mediastinum for patients with a central tumour is 20% to 25% (3). The same review found more limited data showing that the FN rate for PET-CT scanning in the mediastinum is similarly high (24% to 83%).
- Another systematic review found an FN rate of 22% with central tumours for a CT scan in the assessment of mediastinal nodes (4).

Additional evidence published since the ACCP guidelines:

• Cerfolio et al. (6) found that patients with clinical N1 disease suggested by integrated PET-CT/CT had a relatively high incidence (17.6% after mediastinoscopy and 23.5% after endoscopic ultrasound-guided fine needle aspiration [EUS-FNA]) of unsuspected N2 disease.

Enlarged lymph nodes on CT and PET-CT positive or negative:

• The PET-CT FN rate is estimated to be 13% to 25% in patients with nodal enlargement detected by CT scan, according to two meta-analyses. These estimates were based on indirect data and patient groups that were not clearly defined. Direct data from studies in patients with mediastinal or hilar node enlargement have found a PET-CT FN rate of 20% to 28% for N2,3 involvement.

• PET-CT scanning has been shown to falsely identify malignancy in approximately one fourth of patients with nodes that are enlarged for other reasons, usually due to inflammation or infection.(5)

#### **Qualifying Statements**

- Although there is no direct evidence, based on the International Association for the Study of Lung Cancer (IASLC) staging project showing adverse prognosis of larger tumours, the working group believes that T2 tumours should undergo invasive staging.
- In addition to tumour location (i.e., central versus [vs.] peripheral), several other factors have been noted in the literature as potentially affecting the likelihood of N2 disease, including maximum SUV (maxSUV) of the primary tumour (non-FDG avid primary tumours), tumour histology, degree of differentiation, and size, and bronchoalveolar cell carcinoma. These factors should be taken into account when deciding whether to perform invasive staging.
- Mediastinoscopy continues to be the gold standard for invasive mediastinal staging, but newer techniques such as EBUS-TBNA and EUS-FNA have shown promise. Monitoring of the literature in this field is recommended as information on the performance of newer staging techniques continues to accumulate. Please see the discussion in Section 2 for more details.

#### FUTURE RESEARCH

Yasufuku et al. (2007) (9) have a study in progress that compares mediastinoscopy and EBUS-TBNA in the same patients. We anticipate that the results of this study will add to the body of literature on the performance characteristics of EBUS-TBNA. Further details can be found at: <u>http://clinicaltrials.gov/ct2/show/NCT00372203</u>.

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Contact Information For further information about this report, please contact: Dr. Gail Darling, Toronto General Hospital 200 Elizabeth St. 9N955, Toronto, ON M5G 2C4 Phone: 416-340-3121 Fax: 416 340-3660 E-mail: gail.darling@uhn.on.ca

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- <sup>1</sup> This algorithm applies to the target population of NSCLC patients in Ontario who have been clinically staged T1-4, N0-3, with no distant metastases.
- <sup>2</sup> Stage 1A: T1N0M0 (T1: primary tumour diameter of 3 cm or smaller and surrounded by lung or visceral pleura or endobronchial tumour distal to the lobar bronchus; N0: no lymph nodes involved; M0: no metastases).
- <sup>3</sup> For the purposes of this guideline, a tumour in the central third of the hemithorax is considered central. A tumour in the distal two-thirds of the hemithorax is considered peripheral.